

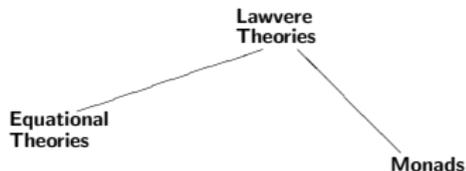
# Theories of Analytic Monads

Marek Zawadowski  
(joint work with Stanisław Szawiel)

University of Warsaw

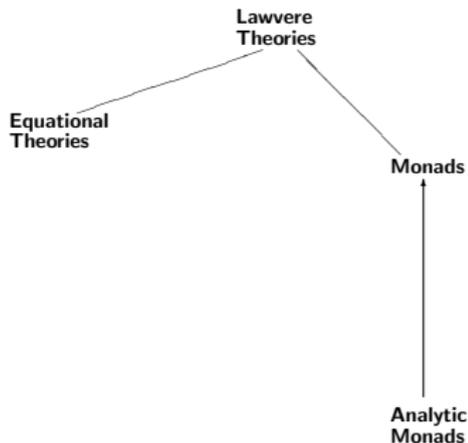
PSSL 93, Cambridge,  
April 15, 2012

# Categories of Equational Theories



**Monad** = finitary monads on *Set*  
**Morphism of monads**  
= nat. transf.  
commuting with units  
and multiplications

# Categories of Equational Theories



**Monad** = finitary monads on  $Set$

**Morphism of monads**

= nat. transf.

commuting with units and multiplications

**Analytic Monad** =

functor part preserves weak wide pullbacks;

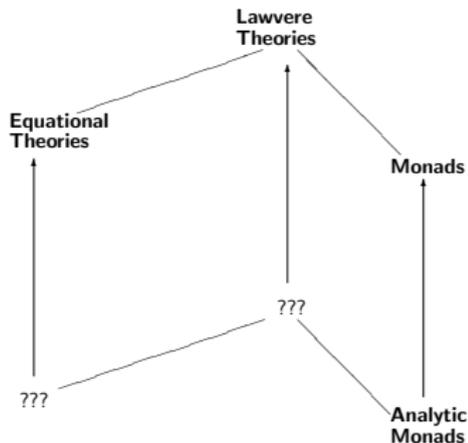
unit and multiplication are weakly cartesian nat. transf.

**Morphism of analytic monads** = weakly

cartesian nat. transf. commuting with units

and multiplications

# Categories of Equational Theories



**Monad** = finitary monads on  $Set$

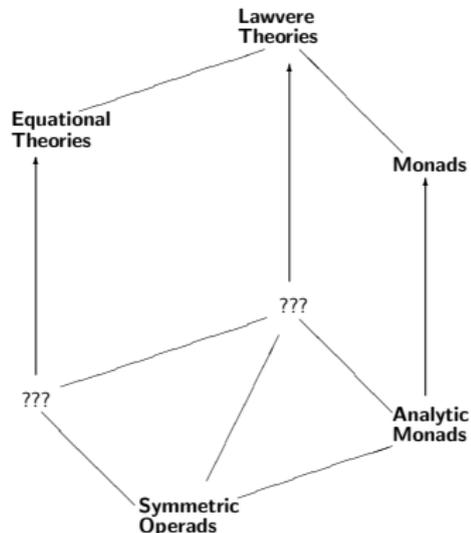
**Morphism of monads** = nat. transf.

commuting with units and multiplications

**Analytic Monad** = functor part preserves weak wide pullbacks; unit and multiplication are weakly cartesian nat. transf.

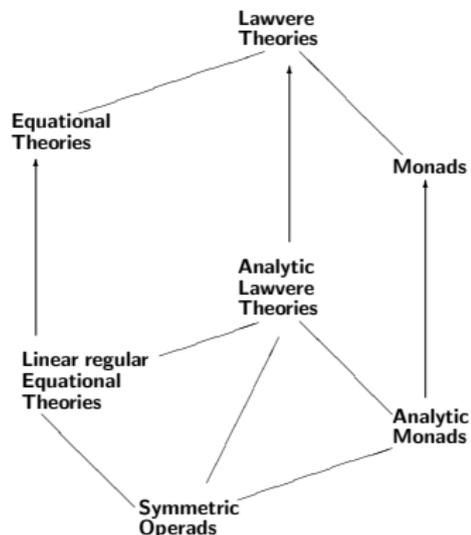
**Morphism of analytic monads** = weakly cartesian nat. transf. commuting with units and multiplications

# Categories of Equational Theories



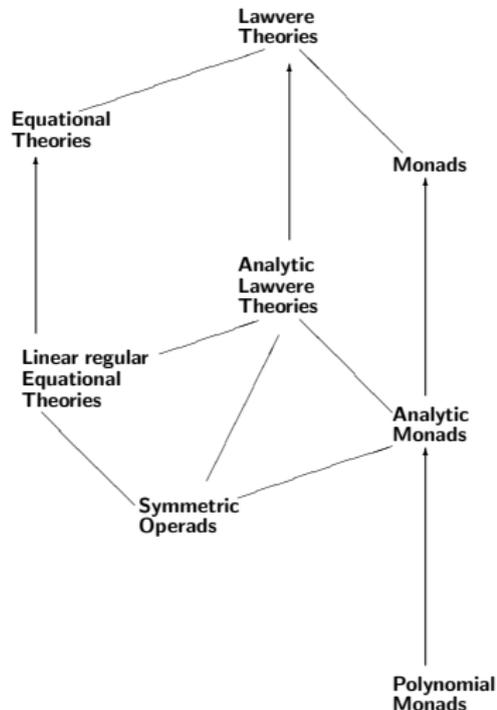
**Symmetric Operad** =  
usual symmetric operad  
in *Set*

# Categories of Equational Theories



**Symmetric Operad** =  
usual symmetric operad  
in *Set*

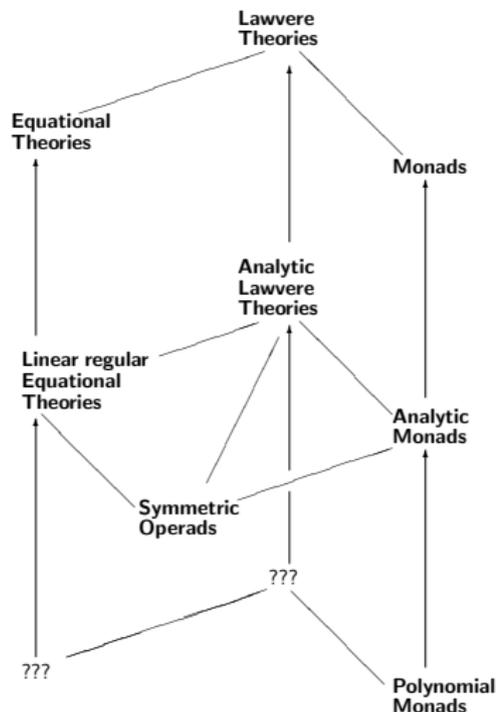
# Categories of Equational Theories



**Polynomial Monad** = functor part preserves wide pullbacks; unit and multiplication are (weakly) cartesian nat. transf.

**Morphisms of polynomial monads** = (weakly) cartesian nat. transf. commuting with units and multiplications

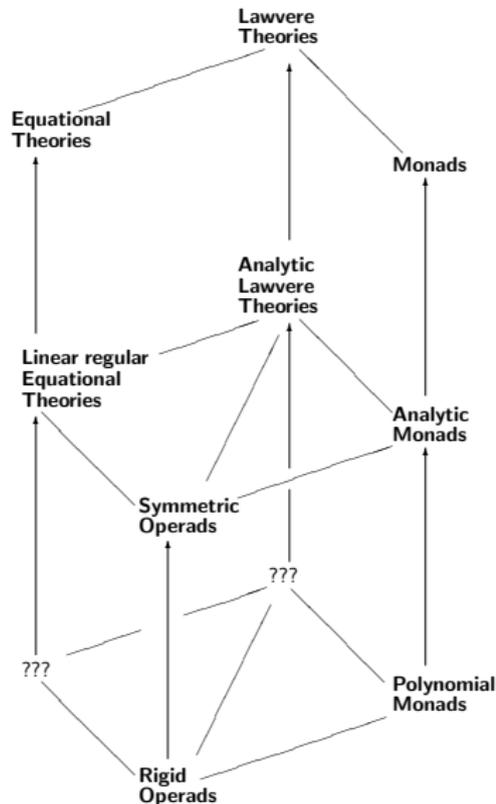
# Categories of Equational Theories



**Polynomial Monad** =  
functor part preserves  
wide pullbacks; unit and  
multiplication are  
(weakly) cartesian nat.  
transf.

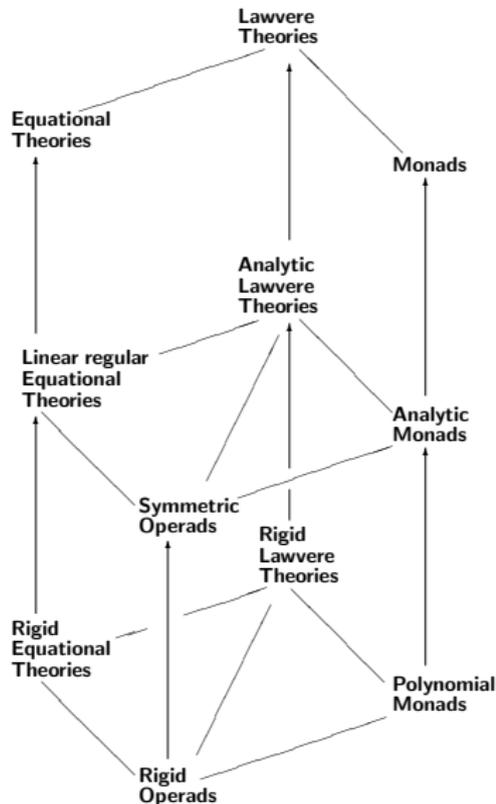
**Morphisms of  
polynomial monads** =  
(weakly) cartesian nat.  
transf. commuting with  
units and multiplications

# Categories of Equational Theories



**Rigid Operad** = usual symmetric operad in  $Set$  + the actions of symmetric groups on operations are free (formerly operads with non-standard amalgamation of Hermida-Makkai-Power)

# Categories of Equational Theories



**Rigid Operad** = usual symmetric operad in  $Set$  + the actions of symmetric groups on operations are free (formerly operads with non-standard amalgamation of Hermida-Makkai-Power)

- $\mathbb{F}$  - skeleton of the category of finite sets;  $\underline{n} = \{1, \dots, n\}$

# Lawvere Theories

notation

- $\mathbb{F}$  - skeleton of the category of finite sets;  $\underline{n} = \{1, \dots, n\}$
- $\mathbb{F}^{op}$  - the initial Lawvere theory

# Lawvere Theories

notation

- $\mathbb{F}$  - skeleton of the category of finite sets;  $\underline{n} = \{1, \dots, n\}$
- $\mathbb{F}^{op}$  - the initial Lawvere theory
- the unique morphism into another theory Lawvere theory

$$\pi : \mathbb{F}^{op} \rightarrow \mathcal{T}$$

# Lawvere Theories

notation

- $\mathbb{F}$  - skeleton of the category of finite sets;  $\underline{n} = \{1, \dots, n\}$
- $\mathbb{F}^{op}$  - the initial Lawvere theory
- the unique morphism into another theory Lawvere theory

$$\pi : \mathbb{F}^{op} \rightarrow \mathcal{T}$$

- $Aut(n)$  is the set of automorphisms of  $n$  in  $\mathcal{T}$

# Lawvere Theories

notation

- $\mathbb{F}$  - skeleton of the category of finite sets;  $\underline{n} = \{1, \dots, n\}$
- $\mathbb{F}^{op}$  - the initial Lawvere theory
- the unique morphism into another theory Lawvere theory

$$\pi : \mathbb{F}^{op} \rightarrow \mathcal{T}$$

- $Aut(n)$  is the set of automorphisms of  $n$  in  $\mathcal{T}$
- We have functions

$$\rho_n : S_n \times Aut(1)^n \longrightarrow Aut(n)$$

such that

$$(\sigma, a_1, \dots, a_n) \mapsto a_1 \times \dots \times a_n \circ \pi_\sigma$$

# Lawvere Theories

simple automorphisms, structural-analytic factorization

## Simple automorphisms

We say that Lawvere theory  $T$  has *simple automorphisms* iff  $\rho_n$  is a bijection, for  $n \in \omega$ .

# Lawvere Theories

simple automorphisms, structural-analytic factorization

## Simple automorphisms

We say that Lawvere theory  $T$  has *simple automorphisms* iff  $\rho_n$  is a bijection, for  $n \in \omega$ .

## Structural morphisms

The class of *structural morphisms* in  $T$  is the closure under isomorphism of the image under  $\pi$  of all morphisms in  $\mathbb{F}$ .

# Lawvere Theories

simple automorphisms, structural-analytic factorization

## Simple automorphisms

We say that Lawvere theory  $T$  has *simple automorphisms* iff  $\rho_n$  is a bijection, for  $n \in \omega$ .

## Structural morphisms

The class of *structural morphisms* in  $T$  is the closure under isomorphism of the image under  $\pi$  of all morphisms in  $\mathbb{F}$ .

## Analytic morphisms

A morphism in  $T$  is *analytic* iff it is right orthogonal to all structural morphisms.

# Lawvere Theories

analytic and rigid theories

## Analytic Lawvere theory

Lawvere theory  $T$  is *analytic* iff

## Analytic Lawvere theory

Lawvere theory  $T$  is *analytic* iff

- $T$  has simple automorphisms;

## Analytic Lawvere theory

Lawvere theory  $T$  is *analytic* iff

- $T$  has simple automorphisms;
- structural and analytic morphisms form a factorization system in  $T$ .

## Analytic Lawvere theory

Lawvere theory  $T$  is *analytic* iff

- $T$  has simple automorphisms;
- structural and analytic morphisms form a factorization system in  $T$ .

## Rigid Lawvere theory

Lawvere theory  $T$  is *rigid* iff

## Analytic Lawvere theory

Lawvere theory  $T$  is *analytic* iff

- $T$  has simple automorphisms;
- structural and analytic morphisms form a factorization system in  $T$ .

## Rigid Lawvere theory

Lawvere theory  $T$  is *rigid* iff

- $T$  is analytic;

## Analytic Lawvere theory

Lawvere theory  $T$  is *analytic* iff

- $T$  has simple automorphisms;
- structural and analytic morphisms form a factorization system in  $T$ .

## Rigid Lawvere theory

Lawvere theory  $T$  is *rigid* iff

- $T$  is analytic;
- the actions of symmetric groups

$$S_n \times T(n, 1) \rightarrow T(n, 1)$$

$$\langle \sigma, f \rangle \mapsto f \circ \pi_\sigma$$

are free on analytic morphisms.

# Lawvere Theories

equivalences of categories, monadicity

## Interpretations of Analytic Lawvere theories

An *analytic interpretation* of Lawvere theories  $I : T \rightarrow T'$  is an interpretation of Lawvere theories that preserves analytic morphisms.

# Lawvere Theories

equivalences of categories, monadicity

## Interpretations of Analytic Lawvere theories

An *analytic interpretation* of Lawvere theories  $I : T \rightarrow T'$  is an interpretation of Lawvere theories that preserves analytic morphisms.

## Theorem

# Lawvere Theories

equivalences of categories, monadicity

## Interpretations of Analytic Lawvere theories

An *analytic interpretation* of Lawvere theories  $I : T \rightarrow T'$  is an interpretation of Lawvere theories that preserves analytic morphisms.

## Theorem

- The category of analytic Lawvere theories and analytic morphisms is equivalent to the category of analytic monads.

# Lawvere Theories

equivalences of categories, monadicity

## Interpretations of Analytic Lawvere theories

An *analytic interpretation* of Lawvere theories  $I : T \rightarrow T'$  is an interpretation of Lawvere theories that preserves analytic morphisms.

## Theorem

- The category of analytic Lawvere theories and analytic morphisms is equivalent to the category of analytic monads.
- The category of rigid Lawvere theories and analytic morphisms is equivalent to the category of polynomial monads.

# Lawvere Theories

equivalences of categories, monadicity

## Interpretations of Analytic Lawvere theories

An *analytic interpretation* of Lawvere theories  $I : T \rightarrow T'$  is an interpretation of Lawvere theories that preserves analytic morphisms.

## Theorem

- The category of analytic Lawvere theories and analytic morphisms is equivalent to the category of analytic monads.
- The category of rigid Lawvere theories and analytic morphisms is equivalent to the category of polynomial monads.

## Theorem

The embedding of the category of analytic Lawvere theories into all Lawvere theories has a right adjoint which is monadic.

# Equational theories

linear-regular theories

- $\vec{x}^n = x_1, \dots, x_n$

# Equational theories

## linear-regular theories

- $\vec{x}^n = x_1, \dots, x_n$
- A term in context

$$t : \vec{x}^n$$

is *linear-regular* if every variable in  $\vec{x}^n$  occurs in  $t$  exactly once.

# Equational theories

## linear-regular theories

- $\vec{x}^n = x_1, \dots, x_n$
- A term in context

$$t : \vec{x}^n$$

is *linear-regular* if every variable in  $\vec{x}^n$  occurs in  $t$  exactly once.

- An equation

$$s = t : \vec{x}^n$$

is *linear-regular* iff both  $s : \vec{x}^n$  and  $t : \vec{x}^n$  are linear-regular terms in contexts.

# Equational theories

## linear-regular theories

- $\vec{x}^n = x_1, \dots, x_n$
- A term in context

$$t : \vec{x}^n$$

is *linear-regular* if every variable in  $\vec{x}^n$  occurs in  $t$  exactly once.

- An equation

$$s = t : \vec{x}^n$$

is *linear-regular* iff both  $s : \vec{x}^n$  and  $t : \vec{x}^n$  are linear-regular terms in contexts.

### Linear-regular theory

An equational theory  $T$  is *linear-regular* iff it has a set of linear-regular axioms.

- A linear-regular term in context

$$t(x_1, \dots, x_n) : \vec{x}^n$$

is *flabby* in  $T$  iff

$$T \vdash t(x_1, \dots, x_n) = t(x_{\sigma(1)}, \dots, x_{\sigma(n)}) : \vec{x}^n$$

for some  $\sigma \in S_n$ ,  $\sigma \neq id_n$ .

- A linear-regular term in context

$$t(x_1, \dots, x_n) : \vec{x}^n$$

is *flabby* in  $T$  iff

$$T \vdash t(x_1, \dots, x_n) = t(x_{\sigma(1)}, \dots, x_{\sigma(n)}) : \vec{x}^n$$

for some  $\sigma \in S_n$ ,  $\sigma \neq id_n$ .

## An example of a flabby term

In the theory  $T_{cm}$  of commutative monoids the term  $x_1 \cdot x_2$  is flabby as

$$T \vdash x_1 \cdot x_2 = x_2 \cdot x_1$$

- A linear-regular term in context

$$t(x_1, \dots, x_n) : \vec{x}^n$$

is *flabby* in  $T$  iff

$$T \vdash t(x_1, \dots, x_n) = t(x_{\sigma(1)}, \dots, x_{\sigma(n)}) : \vec{x}^n$$

for some  $\sigma \in S_n$ ,  $\sigma \neq id_n$ .

## An example of a flabby term

In the theory  $T_{cm}$  of commutative monoids the term  $x_1 \cdot x_2$  is flabby as

$$T \vdash x_1 \cdot x_2 = x_2 \cdot x_1$$

## Rigid theory

A an equational theory  $T$  is *rigid* iff it is linear-regular and has no flabby terms.

# Equational Theories

interpretations, equivalences of categories, undecidability

## Linear-regular interpretation

An interpretation of equational theories  $I : T \rightarrow T'$  is *linear-regular* iff it interprets  $n$ -ary symbols  $f$  in  $T$  as linear-regular terms in contexts  $t : \vec{x}^n$  in  $T'$ .

# Equational Theories

interpretations, equivalences of categories, undecidability

## Linear-regular interpretation

An interpretation of equational theories  $I : T \rightarrow T'$  is *linear-regular* iff it interprets  $n$ -ary symbols  $f$  in  $T$  as linear-regular terms in contexts  $t : \vec{x}^n$  in  $T'$ .

## Theorem

# Equational Theories

interpretations, equivalences of categories, undecidability

## Linear-regular interpretation

An interpretation of equational theories  $I : T \rightarrow T'$  is *linear-regular* iff it interprets  $n$ -ary symbols  $f$  in  $T$  as linear-regular terms in contexts  $t : \vec{x}^n$  in  $T'$ .

## Theorem

- The category of linear-regular theories and linear-regular interpretations is equivalent to the category of analytic monads.

# Equational Theories

interpretations, equivalences of categories, undecidability

## Linear-regular interpretation

An interpretation of equational theories  $I : T \rightarrow T'$  is *linear-regular* iff it interprets  $n$ -ary symbols  $f$  in  $T$  as linear-regular terms in contexts  $t : \vec{x}^n$  in  $T'$ .

## Theorem

- The category of linear-regular theories and linear-regular interpretations is equivalent to the category of analytic monads.
- The category of rigid theories and linear-regular interpretations is equivalent to the category of polynomial monads.

# Equational Theories

interpretations, equivalences of categories, undecidability

## Linear-regular interpretation

An interpretation of equational theories  $I : T \rightarrow T'$  is *linear-regular* iff it interprets  $n$ -ary symbols  $f$  in  $T$  as linear-regular terms in contexts  $t : \vec{x}^n$  in  $T'$ .

## Theorem

- The category of linear-regular theories and linear-regular interpretations is equivalent to the category of analytic monads.
- The category of rigid theories and linear-regular interpretations is equivalent to the category of polynomial monads.

## Theorem[M.Bojanczyk, S.Szawiel, M.Z.]

The problem whether a finite set of linear-regular axioms defines a rigid equational theory is undecidable.

### Monoids

The theory of monoids has two operations  $\cdot$  and  $e$ , of arity 2 and 0, respectively, and equations

$$x_1 \cdot (x_2 \cdot x_3) = (x_1 \cdot x_2) \cdot x_3, \quad x_1 \cdot e = x_1 = e \cdot x_1$$

### Monoids

The theory of monoids has two operations  $\cdot$  and  $e$ , of arity 2 and 0, respectively, and equations

$$x_1 \cdot (x_2 \cdot x_3) = (x_1 \cdot x_2) \cdot x_3, \quad x_1 \cdot e = x_1 = e \cdot x_1$$

By the form of these equations, this theory is strongly regular and hence rigid.

### Monoids

The theory of monoids has two operations  $\cdot$  and  $e$ , of arity 2 and 0, respectively, and equations

$$x_1 \cdot (x_2 \cdot x_3) = (x_1 \cdot x_2) \cdot x_3, \quad x_1 \cdot e = x_1 = e \cdot x_1$$

By the form of these equations, this theory is strongly regular and hence rigid. In the Lawvere theory for monoids  $T_m$  a morphism

$$n \rightarrow 1$$

is analytic iff it is of form

$$\langle x_1, \dots, x_n \rangle \mapsto x_{\sigma(1)} \cdot \dots \cdot x_{\sigma(n)}$$

for some  $\sigma \in S_n$ .

### Monoids with anti-involution

The theory of monoids with anti-involution is a theory of monoids that has an additional unary operation  $s$  and additional two axioms

$$s(x_1) \cdot s(x_2) = s(x_2 \cdot x_1), \quad s(s(x_1)) = x_1$$

### Monoids with anti-involution

The theory of monoids with anti-involution is a theory of monoids that has an additional unary operation  $s$  and additional two axioms

$$s(x_1) \cdot s(x_2) = s(x_2 \cdot x_1), \quad s(s(x_1)) = x_1$$

This theory is not strongly regular but it is not difficult to see that it is rigid.

### Monoids with anti-involution

The theory of monoids with anti-involution is a theory of monoids that has an additional unary operation  $s$  and additional two axioms

$$s(x_1) \cdot s(x_2) = s(x_2 \cdot x_1), \quad s(s(x_1)) = x_1$$

This theory is not strongly regular but it is not difficult to see that it is rigid. In the Lawvere theory for monoids with anti-involution  $T_{mai}$  a morphism

$$n \rightarrow 1$$

is analytic iff it is of form

$$\langle x_1, \dots, x_n \rangle \mapsto s^{\varepsilon_n}(x_{\sigma(n)}) \cdot \dots \cdot s^{\varepsilon_1}(x_{\sigma(1)})$$

for some  $\sigma \in S_n$  and  $\varepsilon_i \in \{0, 1\}$ .

### Commutative monoids

The theory of commutative monoids is the theory of monoids with an additional axiom

$$m(x_1, x_2) = m(x_2, x_1)$$

### Commutative monoids

The theory of commutative monoids is the theory of monoids with an additional axiom

$$m(x_1, x_2) = m(x_2, x_1)$$

Thus is it linear-regular but it is obviously not rigid.

### Commutative monoids

The theory of commutative monoids is the theory of monoids with an additional axiom

$$m(x_1, x_2) = m(x_2, x_1)$$

Thus is it linear-regular but it is obviously not rigid. In the Lawvere theory for commutative monoids  $T_{cm}$  there is exactly one analytic morphism

$$n \rightarrow 1$$

It is of form

$$\langle x_1, \dots, x_n \rangle \mapsto x_1 \cdot \dots \cdot x_n$$

### Commutative monoids

The theory of commutative monoids is the theory of monoids with an additional axiom

$$m(x_1, x_2) = m(x_2, x_1)$$

Thus is it linear-regular but it is obviously not rigid. In the Lawvere theory for commutative monoids  $T_{cm}$  there is exactly one analytic morphism

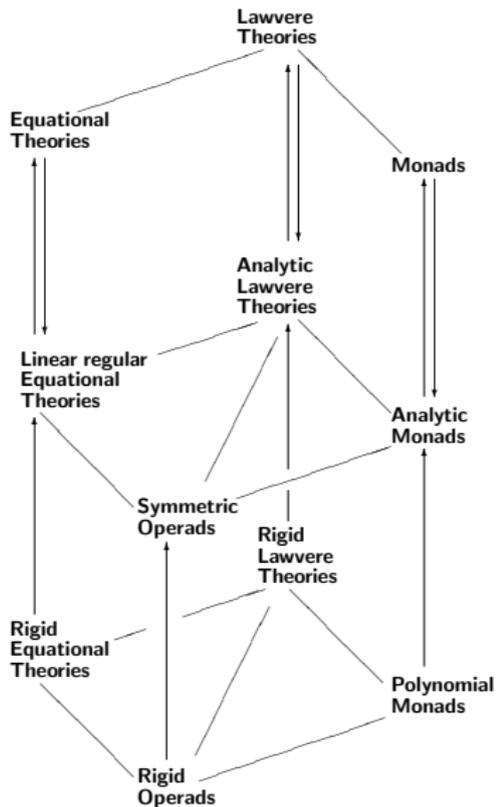
$$n \rightarrow 1$$

It is of form

$$\langle x_1, \dots, x_n \rangle \mapsto x_1 \cdot \dots \cdot x_n$$

$T_{cm}$  is the terminal analytic Lawvere theory.

# Categories of Equational Theories (again)



Thank you!