

# MAG — exercise session 11

## FII, protrusions, meta-kernelization

**Definition 1.** For a subset property  $\Pi$ , the problem  $\min\langle\Pi\rangle$  is *separable* if there exists a function  $f(p)$  such that for any two  $p$ -interface graphs  $\mathbb{G}$  and  $\mathbb{H}$  we have the following. If  $S$  is any optimum solution for  $\min\langle\Pi\rangle$  on  $\mathbb{G} \oplus \mathbb{H}$ , then

$$|\text{OPT}(\mathbb{G}) - |S \cap V(\mathbb{G})|| \leq f(p).$$

If  $f(p)$  is linear, then  $\Pi$  is *linear separable*.

**Definition 2.** A subset property  $\Pi$  has *finite integer index* if for every  $p$ , the following equivalence relation  $\sim_\Pi$  on  $p$ -interface graphs has finite index. Two  $p$ -interface graphs  $\mathbb{G}_1$  and  $\mathbb{G}_2$  are considered equivalent if and only if there exists an integer  $c$  such that for every  $p$ -interface graph  $\mathbb{H}$  we have  $\text{OPT}(\mathbb{G}_1 \oplus \mathbb{H}) - \text{OPT}(\mathbb{G}_2 \oplus \mathbb{H}) = c$ .

**Definition 3.** Suppose  $\Pi$  is mso-definable by a formula  $\phi(X)$ . Fix  $p$  and define an equivalence relation  $\equiv_\phi$  on  $p$ -interface graphs with vertex subsets as  $(\mathbb{G}_1, S_1) \equiv_\phi (\mathbb{G}_2, S_2)$  if and only if for all  $(\mathbb{H}, T)$  it holds that  $\mathbb{G}_1 \oplus \mathbb{H} \models \phi(S_1 \cup T)$  iff  $\mathbb{G}_2 \oplus \mathbb{H} \models \phi(S_2 \cup T)$ . Note that  $\equiv_\phi$  has finite index.

**Definition 4.** Assume  $\Pi$  is mso-definable by a formula  $\phi(X)$  and is separable with function  $f(p)$ . For a  $p$ -interface graph  $\mathbb{G}$  and an equivalence class  $\kappa$  of  $\equiv_\phi$ , define  $\text{OPT}(\mathbb{G}, \kappa)$  to be the smallest size of  $S$  such that  $(\mathbb{G}, S) \in \kappa$ , or  $+\infty$  if such  $S$  does not exist. Further define  $\gamma_{\mathbb{G}}(\kappa)$  as  $\text{OPT}(\mathbb{G}, \kappa) - \text{OPT}(\mathbb{G})$  if the absolute value of this number is at most  $f(p)$ , or  $\perp$  otherwise.

**Problem 1.** In the setting of the above definition, suppose  $p$ -interface graphs  $\mathbb{G}_1, \mathbb{G}_2$  satisfy  $\gamma_{\mathbb{G}_1}(\kappa) = \gamma_{\mathbb{G}_2}(\kappa)$  for all equivalence classes  $\kappa$  of  $\equiv_\phi$ ; call this common function  $\gamma(\cdot)$ . Take any  $p$ -interface graph  $\mathbb{H}$  and let  $S_1, S_2$  be optimum solutions in  $\mathbb{G}_1 \oplus \mathbb{H}$  and  $\mathbb{G}_2 \oplus \mathbb{H}$ , respectively. Suppose further that  $(\mathbb{G}_1, S_1 \cap V(\mathbb{G}_1)) \in \kappa_1$  and  $(\mathbb{G}_2, S_2 \cap V(\mathbb{G}_2)) \in \kappa_2$  for  $\kappa_1, \kappa_2$  being equivalence classes of  $\equiv_\phi$ .

- (1) Prove that  $|S_1 \cap V(\mathbb{G}_1)| - \text{OPT}(\mathbb{G}_1) = \gamma(\kappa_1)$  and  $|S_2 \cap V(\mathbb{G}_2)| - \text{OPT}(\mathbb{G}_2) = \gamma(\kappa_2)$ .
- (2) Prove that  $\mathbb{G}_1 \oplus \mathbb{H}$  admits a solution of size  $\gamma(\kappa_2) + \text{OPT}(\mathbb{G}_1) + |S_2 \setminus V(\mathbb{G}_2)|$ , while an optimum solution in  $\mathbb{G}_1 \oplus \mathbb{H}$  has size  $\gamma(\kappa_1) + \text{OPT}(\mathbb{G}_1) + |S_1 \setminus V(\mathbb{G}_1)|$ .
- (3) Prove that  $\mathbb{G}_2 \oplus \mathbb{H}$  admits a solution of size  $\gamma(\kappa_1) + \text{OPT}(\mathbb{G}_2) + |S_1 \setminus V(\mathbb{G}_1)|$ , while an optimum solution in  $\mathbb{G}_2 \oplus \mathbb{H}$  has size  $\gamma(\kappa_2) + \text{OPT}(\mathbb{G}_2) + |S_2 \setminus V(\mathbb{G}_2)|$ .
- (4) Conclude that  $\text{OPT}(\mathbb{G}_1 \oplus \mathbb{H}) - \text{OPT}(\mathbb{G}_2 \oplus \mathbb{H}) = \text{OPT}(\mathbb{G}_1) - \text{OPT}(\mathbb{G}_2)$ .

Conclude that every separable and mso-definable subset minimization problem has FII.

**Definition 5.** A  $\tau$ -protrusion in a graph  $G$  is a set of vertices  $X$  such that  $G[X]$  has treewidth at most  $\tau$  and there are at most  $\tau$  vertices in  $X$  that have neighbors outside of  $X$ . The set of latter vertices is called the *boundary of  $X$* , and denoted by  $\partial X$ .

**Problem 2.** Suppose a graph  $G$  contains a  $\tau$ -protrusion  $X$ . Prove that then for any  $c \leq |X|$ ,  $G$  has a  $\tau$ -protrusion of size between  $c$  and  $2c$ .

**Definition 6.** An  $\eta$ -transversal in a graph  $G$  is a set of vertices  $Z$  such that  $G - Z$  has treewidth at most  $\eta$ .

**Problem 3.** Prove that if a planar graph  $G$  admits an  $\eta$ -transversal of size  $k$ , then  $G$  has treewidth at most  $\alpha\sqrt{k}$  for some constant  $\alpha$  depending on  $\eta$ .

**Problem 4.** Let  $C > 100$  and  $\eta$  be fixed, and let  $\alpha$  be the constant given for  $\eta$  by the previous exercise. Denote

$$\rho = \frac{1 + \sqrt{2} + \sqrt{3}}{\sqrt{3}} \quad \text{and} \quad \delta = \frac{2C\alpha}{\rho}.$$

Moreover, choose  $k_0$  depending only on  $\alpha$  so that for every  $k \geq k_0$  we have

$$\frac{2}{3}k + \alpha\sqrt{k} \leq k - 1 \quad \text{and} \quad \frac{k}{3} - \frac{2\alpha}{\rho}\sqrt{\frac{k}{3}} \geq 1.$$

Define  $\tau = \max(\eta, k_0)$ . Prove the following statement: every graph  $G$  with  $\eta$ -transversal  $Z$  of size  $k > 1$  and such that  $|V(G) \setminus Z| \geq Ck$  admits a  $\tau$ -protrusion with interior of size at least  $C$ . Do this by verifying the statement directly for  $k \leq k_0$ , and proving by induction that for  $k \geq \frac{1}{3}k_0$  a stronger statement holds, where we assume only  $|V(G) \setminus Z| \geq Ck - \delta\sqrt{k}$ .

**Problem 5.** Prove that if a connected graph  $G$  admits a dominating set of size  $k$ , then it admits a connected dominating set of size at most  $3k$ .

**Problem 6.** Prove that if  $D$  is a connected dominating set in a planar graph  $G$ , then the treewidth of  $G - D$  is bounded by some universal constant. Conclude that if a planar graph  $G$  admits a dominating set of size  $k$ , then  $G$  admits an  $\eta$ -transversal of size at most  $3k$ , for some universal constant  $\eta$ .

**Definition 7.** The problem  $\min\langle\Pi\rangle$  is *minor-bidimensional* if the following conditions hold:

- If  $H$  is a minor of  $G$ , then  $\text{OPT}(H) \leq \text{OPT}(G)$ .
- There exist constants  $\delta > 0$  and  $c$  such that  $\text{OPT}(\text{Grid}_{k \times k}) \geq \delta k^2 - c$  for all  $k$ .

**Problem 7.** Prove that  $\eta$ -TRANSVERSAL is minor-bidimensional, for each  $\eta$ .

**Problem 8.** Suppose  $\Pi$  is a subset problem such that  $(G, S) \in \Pi$  depends only on whether the graph  $G - S$  belongs to some fixed graph class  $\mathcal{C}$ . Prove that if  $\Pi$  is minor-bidimensional, then  $\mathcal{C}$  has bounded treewidth.