Natural transformations

## Natural transformations

Given two parallel functors $\mathbf{F}, \mathbf{G}: \mathbf{K} \rightarrow \mathbf{K}^{\prime}$,

| $\mathbf{K :}$ | $\mathbf{K}^{\prime}:$ |  |
| :--- | :--- | :--- |
| $A$ | $\mathbf{F}(A)$ | $\mathbf{G}(A)$ |
|  |  |  |
| $B$ | $\mathbf{F}(B)$ | $\mathbf{G}(B)$ |

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Given two parallel functors $\mathbf{F}, \mathbf{G}: \mathbf{K} \rightarrow \mathbf{K}^{\prime}$, a natural transformation from $\mathbf{F}$ to $\mathbf{G}$

$$
\tau: \mathbf{F} \rightarrow \mathbf{G}
$$

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is a family $\tau=\left\langle\tau_{A}: \mathbf{F}(A) \rightarrow \mathbf{G}(A)\right\rangle_{A \in|\mathbf{K}|}$ of $\mathbf{K}^{\prime}$-morphisms

$$
\begin{array}{rl}
\mathbf{K}: & \begin{array}{l}
\mathbf{K}^{\prime}: \\
A
\end{array} \\
& \mathbf{F}(A) \xrightarrow{\tau_{A}} \mathbf{G}(A) \\
B & \mathbf{F}(B) \xrightarrow{\tau_{B}} \mathbf{G}(B)
\end{array}
$$

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is a family $\tau=\left\langle\tau_{A}: \mathbf{F}(A) \rightarrow \mathbf{G}(A)\right\rangle_{A \in|\mathbf{K}|}$ of $\mathbf{K}^{\prime}$-morphisms such that for all $f: A \rightarrow B$ in $\mathbf{K}$ (with $A, B \in|\mathbf{K}|$ ),

$$
\begin{gathered}
\mathbf{K}: \\
A \\
f \mid \\
\dagger \\
\forall
\end{gathered}
$$

$\mathbf{K}^{\prime}$ :
$\mathbf{F}(A) \xrightarrow{\tau_{A}} \mathbf{G}(A)$
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Then, $\tau$ is a natural isomorphism if for all $A \in|\mathbf{K}|, \tau_{A}$ is an isomorphism.

K:
$A$
$f$
$f$
$\square$
$B$


## Examples

- identity transformations: $i d_{\mathbf{F}}: \mathbf{F} \rightarrow \mathbf{F}$, where $\mathbf{F}: \mathbf{K} \rightarrow \mathbf{K}^{\prime}$, for all objects $A \in|\mathbf{K}|,\left(i d_{\mathbf{F}}\right)_{A}=i d_{A}: \mathbf{F}(A) \rightarrow \mathbf{F}(A)$


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- singleton functions: sing: $\mathbf{I d}_{\text {Set }} \rightarrow \mathbf{P}(:$ Set $\rightarrow$ Set $)$, where for all $X \in \mid$ Set $\mid$, $\operatorname{sing}_{X}: X \rightarrow \mathbf{P}(X)$ is a function defined by $\operatorname{sing}_{X}(x)=\{x\}$ for $x \in X$.


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$$
X \quad \mathbf{I d}_{\mathbf{S e t}}(X) \xrightarrow{\operatorname{sing}_{X}} \mathbf{P}(X)
$$

$$
Y \quad \mathbf{I d}_{\mathbf{S e t}}(Y) \xrightarrow{\operatorname{sing}_{Y}} \mathbf{P}(Y)
$$

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For all $f: X \rightarrow Y$, $\operatorname{sing}_{X} ; \mathbf{P}(f)=\mathbf{I d}_{\text {Set }}(f) ; \operatorname{sing}_{Y}$, i.e. $\operatorname{sing}_{X} ; \vec{f}=f ; \operatorname{sing}_{Y}$,


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- singleton-list functions: sing ${ }^{\text {List }}: \mathbf{I d}_{\text {Set }} \rightarrow \mid$ List $\mid(:$ Set $\rightarrow$ Set $)$, where $\mid$ List $|=\mathbf{L i s t} ;|-|: \operatorname{Set}(\rightarrow$ Monoid $) \rightarrow \mathbf{S e t}$, and for all $X \in| \operatorname{Set} \mid$, $\sin g_{X}^{\text {List }}: X \rightarrow X^{*}$ is a function defined by $\operatorname{sing} g_{X}^{\text {List }}(x)=\langle x\rangle$ for $x \in X$


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- append functions: append: $\mid$ List $|; \mathbf{C P} \rightarrow|$ List $\mid$ (: Set $\rightarrow$ Set), where for all $X \in|\operatorname{Set}|$, append $_{X}:\left(X^{*} \times X^{*}\right) \rightarrow X^{*}$ is the usual append function (list concatenation) polymorphic functions between algebraic types


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- $\llbracket \alpha_{i} \rrbracket\left(X_{1}, \ldots, X_{n}\right)=X_{i}$
- 【int】 $\left(X_{1}, \ldots, X_{n}\right)=\{\ldots,-2,-1,0,1,2, \ldots\}$
$\cdot \llbracket T_{1} \times T_{2} \rrbracket\left(X_{1}, \ldots, X_{n}\right)=\llbracket T_{1} \rrbracket\left(X_{1}, \ldots, X_{n}\right) \times \llbracket T_{2} \rrbracket\left(X_{1}, \ldots, X_{n}\right)$
$\cdot \llbracket T_{1}+T_{2} \rrbracket\left(X_{1}, \ldots, X_{n}\right)=\llbracket T_{1} \rrbracket\left(X_{1}, \ldots, X_{n}\right)+\llbracket T_{2} \rrbracket\left(X_{1}, \ldots, X_{n}\right)$
- ... recursive type definitions work as well. . .


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- argue that in a representative subset of Standard ML, for each polymorphic expression $E: \forall \alpha_{1} \ldots \alpha_{n} \cdot T \rightarrow T^{\prime}$ its semantics is a natural transformation $\llbracket E \rrbracket: \llbracket T \rrbracket \rightarrow \llbracket T^{\prime} \rrbracket$


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- by induction on the structure of well-typed expressions


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- Then for $f_{1}: X_{1} \rightarrow Y_{1}, \ldots, f_{n}: X_{n} \rightarrow Y_{n}:$

$$
\llbracket T \rrbracket\left(f_{1}, \ldots, f_{n}\right) ; \llbracket E \rrbracket_{\left\langle Y_{1}, \ldots, Y_{n}\right\rangle}=\llbracket E \rrbracket_{\left\langle X_{1}, \ldots, X_{n}\right\rangle} ; \llbracket T^{\prime} \rrbracket\left(f_{1}, \ldots, f_{n}\right)
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For instance, for rev: $\alpha$ list $\rightarrow \alpha$ list, even : int $\rightarrow$ bool and $l$ : int list:

$$
\operatorname{rev}\left(\operatorname{even}^{*}(l)\right)=\operatorname{even}^{*}(\operatorname{rev}(l))
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Theorems for free!
(see Wadler 89)

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## EXERCISES:

- Dualise: for G: K ${ }^{o p} \rightarrow$ Set,


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- Characterise all natural transformations from $\operatorname{Hom}_{\mathbf{K}}\left(A,{ }_{-}\right)$to $\operatorname{Hom}_{\mathbf{K}}\left(B,{ }_{-}\right)$, for all objects $A, B \in|\mathbf{K}|$.



## Proof

- For $a \in \mathbf{F}(A)$, define $\tau^{a}: \operatorname{Hom}_{\mathbf{K}}(A,-) \rightarrow \mathbf{F}$, as the family of functions $\tau_{B}^{a}: \mathbf{K}(A, B) \rightarrow \mathbf{F}(B), B \in|\mathbf{K}|$,


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K:
$B$
$g$
$\dagger$
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$$
\begin{gathered}
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K:
$B$
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$$

$$
=\tau_{C}^{a}\left(\operatorname{Hom}_{\mathbf{K}}(A, g)(f)\right)
$$

K:
$B$

$C$

Set:
$\mathbf{K}(A, B) \xrightarrow{\tau_{B}^{a}} \mathbf{F}(B)$


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\begin{aligned}
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Then $\tau_{A}^{a}\left(i d_{A}\right)=a$,

K:
$B$
$g$
$\downarrow$
$C$

Set:


## Proof

- For $a \in \mathbf{F}(A)$, define $\tau^{a}: \operatorname{Hom}_{\mathbf{K}}\left(A,{ }_{-}\right) \rightarrow \mathbf{F}$, as the family of functions $\tau_{B}^{a}: \mathbf{K}(A, B) \rightarrow \mathbf{F}(B), B \in|\mathbf{K}|$, given by $\tau_{B}^{a}(f)=\mathbf{F}(f)(a)$ for $f: A \rightarrow B$ in $\mathbf{K}$. This is a natural transformation, since for $g: B \rightarrow C$ and then $f: A \rightarrow B$,

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$B$
$\dagger$
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A

B

Set:


## Compositions

## Compositions

vertical composition:

## Compositions

vertical composition:


## Compositions

## vertical composition:



## Compositions

vertical composition:
horizontal composition:


## Compositions


horizontal composition:


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$\underline{\underline{\text { vertical composition: }}}$

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Vertical composition


The vertical composition of natural transformations $\tau: \mathbf{F} \rightarrow \mathbf{F}^{\prime}$ and $\sigma: \mathbf{F}^{\prime} \rightarrow \mathbf{F}^{\prime \prime}$ between parallel functors $\mathbf{F}, \mathbf{F}^{\prime}, \mathbf{F}^{\prime \prime}: \mathbf{K} \rightarrow \mathbf{K}^{\prime}$


The vertical composition of natural transformations $\tau: \mathbf{F} \rightarrow \mathbf{F}^{\prime}$ and $\sigma: \mathbf{F}^{\prime} \rightarrow \mathbf{F}^{\prime \prime}$ between parallel functors $\mathbf{F}, \mathbf{F}^{\prime}, \mathbf{F}^{\prime \prime}: \mathbf{K} \rightarrow \mathbf{K}^{\prime}$

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Horizontal composition

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\tau \cdot \sigma: \mathbf{F} ; \mathbf{G} \rightarrow \mathbf{F}^{\prime} ; \mathbf{G}^{\prime}
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$\mathbf{F}(A)$
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Show that indeed, $\tau \cdot \sigma$ is a natural transformation

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$$
\begin{array}{ll}
\mathbf{K}: \\
A & \mathbf{\mathbf { K } ^ { \prime \prime } :} \\
\mathbf{G}(\mathbf{F}(A)) \longrightarrow \mathbf{G}^{\prime}\left(\mathbf{F}^{\prime}(A)\right)
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## Functor categories

Given two categories $\mathbf{K}, \mathbf{K}^{\prime}$, define the category of functors from $\mathbf{K}^{\prime}$ to $\mathbf{K}, \mathbf{K}^{\mathbf{K}^{\prime}}$, as follows:

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- objects: functors from $\mathbf{K}^{\prime}$ to $\mathbf{K}$


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- composition: vertical composition of the natural transformations


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## Exercises:

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## Exercises:

- View the category of $S$-sorted sets, $\mathbf{S e t}^{S}$, as a functor category.


## Functor categories

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- morphisms: natural transformations between them
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## Exercises:

- View the category of $S$-sorted sets, $\mathbf{S e t}^{S}$, as a functor category.
- Check whether $\mathbf{K}^{\mathbf{K}^{\prime}}$ is (finitely) (co)complete whenever $\mathbf{K}$ is so.

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- for $A^{\prime} \in\left|\mathbf{K}^{\prime}\right|$, let $\alpha^{A^{\prime}}: \mathbf{X}\left(A^{\prime}\right) \rightarrow \mathbf{D}\left(A^{\prime}\right)$ be the limit of $\mathbf{D}\left(A^{\prime}\right)$ in $\mathbf{K}$
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- check that $\mathbf{X}: \mathbf{K}^{\prime} \rightarrow \mathbf{K}$ is a functor, and $\alpha_{n}: \mathbf{X} \rightarrow \mathbf{D}_{n}$ are natural transformations

Theorem: If $\mathbf{K}$ is complete then $\mathbf{K}^{\mathbf{K}^{\prime}}$ is complete as well.
Proof (idea): Or proceed with limit construction for an arbitrary diagram:

- Let $\mathbf{D}$ be a diagram in $\mathbf{K}^{\mathbf{K}^{\prime}}$ with nodes $n \in N$ and edges $e \in E$.
- for $A^{\prime} \in\left|\mathbf{K}^{\prime}\right|$, define $\mathbf{D}\left(A^{\prime}\right)$ to be a diagram in $\mathbf{K}$ with $\mathbf{D}\left(A^{\prime}\right)_{n}=\mathbf{D}_{n}\left(A^{\prime}\right)$ and $\mathbf{D}\left(A^{\prime}\right)_{e}=\left(\mathbf{D}_{e}\right)_{A^{\prime}}$
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- Prove that $\alpha: \mathbf{X} \rightarrow \mathbf{D}$ is a limit of $\mathbf{D}$ in $\mathbf{K}^{\mathbf{K}^{\prime}}$.


## Functor categories

Given two categories $\mathbf{K}, \mathbf{K}^{\prime}$, define the category of functors from $\mathbf{K}^{\prime}$ to $\mathbf{K}, \mathbf{K}^{\mathbf{K}^{\prime}}$, as follows:

- objects: functors from $\mathbf{K}^{\prime}$ to $\mathbf{K}$
- morphisms: natural transformations between them
- composition: vertical composition of the natural transformations


## Exercises:

- View the category of $S$-sorted sets, $\mathbf{S e t}^{S}$, as a functor category.
- Check whether $\mathbf{K}^{\mathbf{K}^{\prime}}$ is (finitely) (co)complete whenever $\mathbf{K}$ is so.
- Show how any functor $\mathbf{F}: \mathbf{K}^{\prime \prime} \rightarrow \mathbf{K}^{\prime}$ induces a functor $(\mathbf{F} ;-)$ : $\mathbf{K}^{\mathbf{K}^{\prime}} \rightarrow \mathbf{K}^{\mathbf{K}^{\prime \prime}}$,


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- Check if $(\mathbf{F} ;-): \mathbf{K}^{\mathbf{K}^{\prime}} \rightarrow \mathbf{K}^{\mathbf{K}^{\prime \prime}}$ is (finitely) (co)continuous, for any $\mathbf{F}: \mathbf{K}^{\prime \prime} \rightarrow \mathbf{K}^{\prime}$.


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- naturality of $\mathcal{Y}(f): \mathcal{Y}(A) \rightarrow \mathcal{Y}(B)$ : for $h: X \rightarrow Y$ in $\mathbf{K}$, $\mathcal{Y}(A)(h) ; \mathcal{Y}(f)_{X}=(h ;-) ;(-; f)=h ;-f=(-; f) ;(h ;-)=\mathcal{Y}(f)_{Y} ; \mathcal{Y}(B)(h)$


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$$
\begin{aligned}
& \mathbf{F}: \mathbf{K} \rightarrow \mathbf{K}^{\prime} \text { is full and faithfull } \\
& \text { if for all } A, B \in|\mathbf{K}|, \\
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\end{aligned}
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Diagrams as functors

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Diagrams are functors from small (shape) categories

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F;G


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A category $\mathbf{K}$ is a two-category when for all objects $A, B \in$ $|\mathbf{K}|, \mathbf{K}(A, B)$ is again a category, with 1-morphisms (the usual K-morphisms) as objects and 2morphisms between them.

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A category $\mathbf{K}$ is a two-category when for all objects $A, B \in$ $|\mathbf{K}|, \mathbf{K}(A, B)$ is again a category, with 1-morphisms (the usual K-morphisms) as objects and 2morphisms between them.
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In two-category Cat, we have $\operatorname{Cat}\left(\mathbf{K}^{\prime}, \mathbf{K}\right)=\mathbf{K}^{\mathbf{K}^{\prime}}$.

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All "categorical" properties are preserved under equivalence of categories

